

Reasoning About Genesis  
or:  
The Mechanical Philologist

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# Goethe's "Faust"

- ca. 1773–1831/32
- first (and to date only) critical edition 1887
- new critical edition, prepared by A. Bohnenkamp, F. Jannidis, S. Henke since 2009
- ca. 500 archival units/manuscripts extant

# Reconstructing the genesis of "Faust"

- dating of individual manuscripts
- establishing relations between manuscripts
- taking account of a century of scholarship

# Methods of dating

- methodological reflection
- relative – absolute chronology



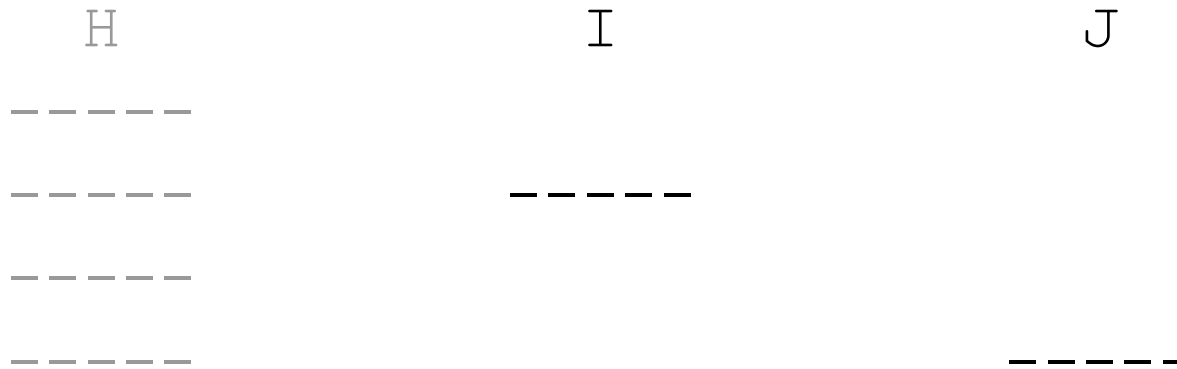
# Evidence for dating manuscripts

- explicit dates on the ms.
- material properties (paper, ink, watermark etc.)
- external cues (mentions in diary, letter, table talk etc.)
- "logic" / dynamic of genesis inherent to the text

# Computer-aided dating

- tool for editors
- provide the same tool and data to users
- task: formalizing the practice of dating using formal logic

# Syntagmatic precedence (*syn*)



*I syntagmatically precedes J or*

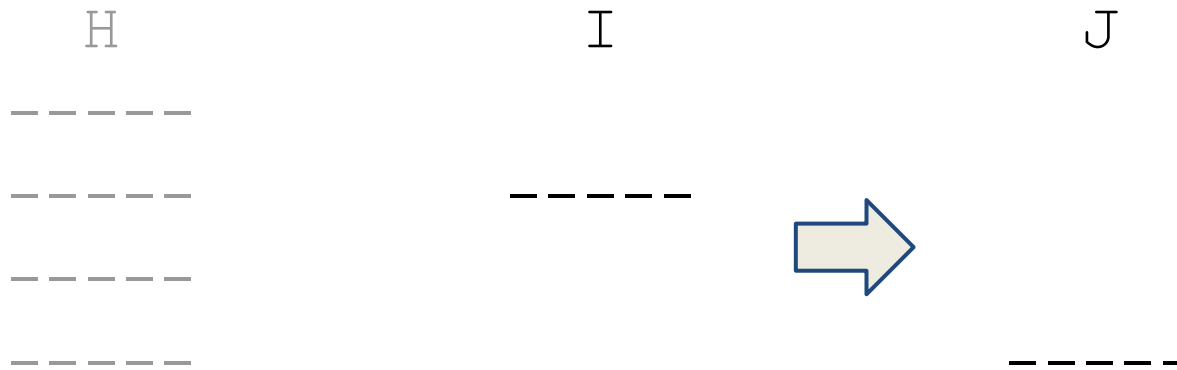
*syn (I, J)*

if J follows I with respect to a larger text H containing both





# Syntagmatic precedence (*syn*)



Rule  $r_{syn}$ :

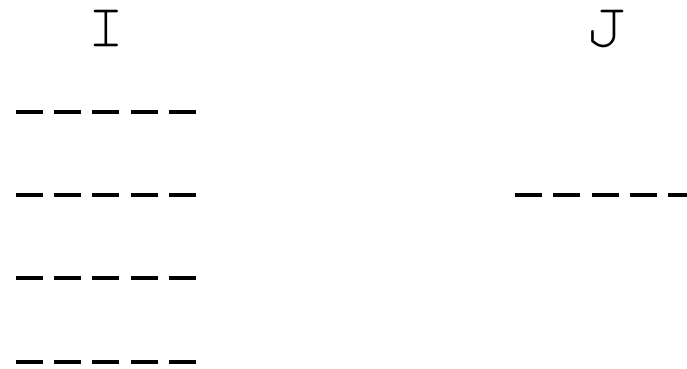
$syn(I, J) \Rightarrow pre(I, J)$

If I syntagmatically precedes J, then it is earlier than J

# Syntagmatic precedence (*syn*)

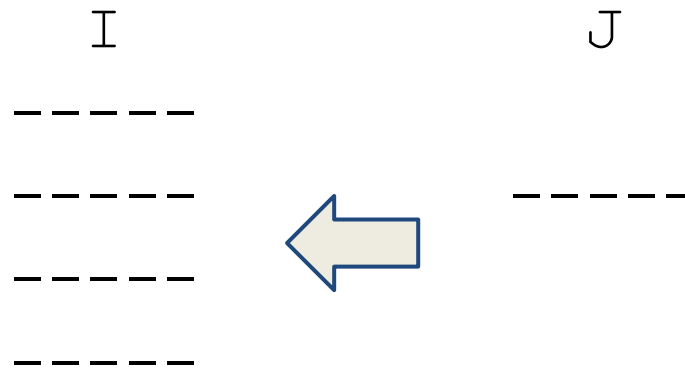
(graph)

# Paradigmatic containment (*pcon*)



Paradigmatic containment: J is *paradigmatically contained* by I, if some part of J lies within the range of the overall syntagmatic interval of I and J is actually contained in I

# Paradigmatic containment (*pcon*)



Rule  $r_{pcon}$ :

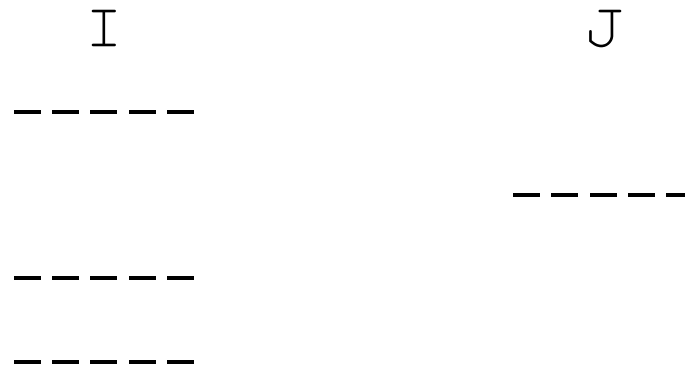
$$pcon(I, J) \Rightarrow pre(J, I)$$

If I paradigmatically contains J, then J is earlier than I

# Paradigmatic containment (*pcon*)

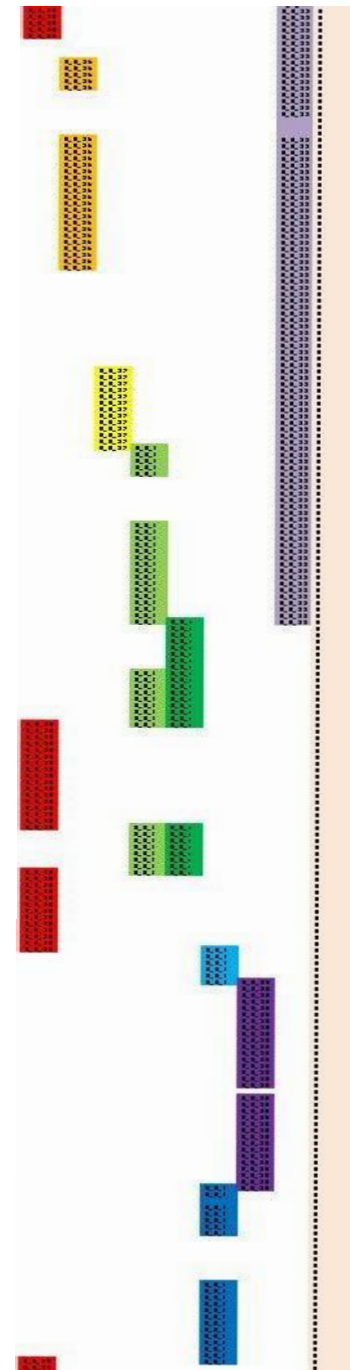
(graph)

# Exclusive containment (*econ*)



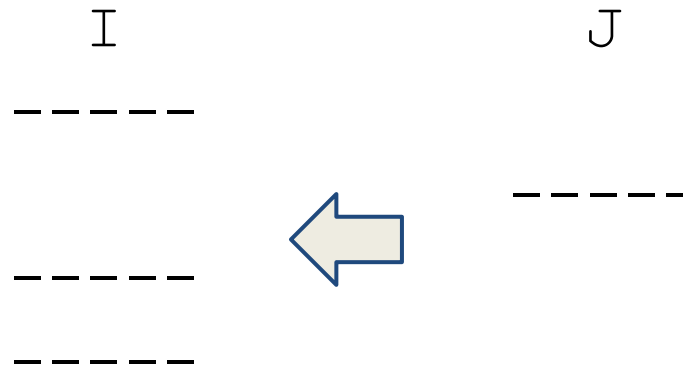
The overall syntagmatic interval J is *exclusively contained* by the overall syntagmatic interval of I, if some part of the text of J lies within the overall syntagmatic interval of I without being actually contained by I

# Last scene of "Faust" (Bergschluchten – Mountain Ravine)





# Exclusive containment (*econ*)



Rule  $r_{econ}$ :

$econ(I, J) \rightarrow pre(I, J)$

If I exclusively contains J, then it I is earlier than J

# Knowledge from research

- collection of data from scholarly research on the dating of Faust manuscripts

(graph)

- new research
- overrule inferred relations

# Application of Rules

- Transitivity

$$\text{syn}(I, J) \wedge \text{syn}(J, K) \Rightarrow \text{syn}(I, K)$$

- Priorisation of Rules

$$r_{\text{syn}} < r_{\text{pcon}} < r_{\text{econ}} < r_{\text{exp}}$$

- Combining

(graph)

# Evaluation of inference rules

- An inference rule is a formalized hypothesis about an authors writing habits
- Can be evaluated by comparison with established knowledge
- Measures
  - Coverage
  - Recall
  - Accuracy

# Evaluation of inference rules

|            | Coverage<br>[%] | Recall<br>[%] | Accuracy<br>[%] |
|------------|-----------------|---------------|-----------------|
| r_syn      | 95.2            | 22.6          | 23.7            |
| r_pcon     | 43.8            | 43.8          | 100.0           |
| r_econ     | 3.4             | 2.7           | 80.0            |
|            |                 |               |                 |
| r_syn_ante | 95.2            | 72.6          | 76.3            |

# Appendix

# Outlook

- Interactive rule formulation & prioritisation
- Application to act I-IV of "Faust"
- To other works / authors
- Induction of rules

# Formalism, implementation

- First order predicate logic solver  
Too slow
- Description logic (OWL)  
Not expressive enough (no negation)
- Prolog  
No true negation
- Imperative implementation